

12-05-01

A

11/02/01  
J1031 U.S. PTO

Anticipated Classification  
of this Application:  
Class \_\_\_\_\_ Subclass X

Prior Application:  
Examiner Eileen O'Hara  
Group Art Unit 1646

Attorney's  
Docket  
No. 40314-A/JPW/  
SHS/MVM

HONORABLE ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

November 2, 2001

S I R:

This is a request for filing a X CONTINUATION

       DIVISIONAL        CONTINUATION-IN-PART application under

X 37 C.F.R. §1.53(b)        37 C.F.R. §1.53(d), of pending prior application

Serial No. 08/065,844 filed on May 20, 1993 of

Thomas M. Jessell et al. for  
Inventor(s)

CLONING, EXPRESSION AND USES OF DORSALIN-1

Title of Invention

1. X Enclosed is a copy of the prior application, as originally  
filed and an affidavit or declaration verifying it as a  
true copy.
2. X A verified statement to establish small entity status under  
37 C.F.R. §1.9 and 1.27  
       is enclosed.  
X was filed in the prior application and such status  
is still proper and desired (37 C.F.R. §1.28(a)).
3. X The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

	NUMBER FILED		NUMBER EXTRA*		RATE			FEE	
					SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
Total Claims	42-20	=	22	X	\$9.00	\$18.00	=	\$198.00	\$0
Independent Claims	2-3	=	0	X	\$42.00	\$84.00	=	\$0	\$0
Multiple Dependent Claims Presented: <u>X</u> Yes <u>      </u> No					\$140.00	\$280.00	=	\$140.00	\$0
					BASIC FEE			\$370.00	\$740.00
					TOTAL FEE			\$708.00	\$

\*If the difference in Col. 1 is  
less than zero, enter "0" in  
Col. 2.

J1036 U.S. PTO  
10/002278  
11/02/01

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U.S. Serial No.: Not Yet Known  
Filed: November 2, 2001

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4. X The Commissioner is hereby authorized to charge payment of the following fees associated with this application or credit any overpayment to Deposit Account No. 03-3125.
- X Any additional filing fees required under 37 C.F.R. §1.16.
- X Any patent application processing fees under 37 C.F.R. §1.17.
- The issue fees set forth in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
5. X Three copies of this sheet are enclosed.
6. X A check in the amount of \$ 708.00 is enclosed.
7.        Cancel claims       .
8.        Amend the specification by inserting before the first line the sentence: --This is a        continuation        division of application Serial No.       , filed        ---
9. X 16 Sheet(s) of        informal X formal drawing(s) is/are enclosed.
10.        Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
11.        Priority of application No.        filed on        in        (country) is claimed under 37 U.S.C. §119.
- The certified copy of the priority application has been filed in prior application Serial No.       , filed       .
12. X The prior application is assigned of record to The Trustees of Columbia University in the City of New York.
13. X A preliminary amendment is enclosed.
14. X The power of attorney in the prior application is to:

John P. White (Reg. No. 28,678); Thomas F. Moran (Reg. No. 16,579); Norman H. Zivin (Reg. No. 25,385); Ivan S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,031); Thomas G. Carulli (Reg. No. 30,616); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Richard S. Milner (Reg. No. 33,970); Robert J. Cobert (Reg. No. 36,108); Matthew J. Golden (Reg. No. 35,161); and Albert Wai-Kit Chan (Reg. No. 36,479).

Applicants: Thomas M. Jessell et al.  
U.S. Serial No.: Not Yet Known  
Filed: November 2, 2001

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- (a)   X   The power appears in the original papers in the prior application.
- (b)        Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- (c)   X   Address all future communications to:  
(May only be completed by applicant,  
or attorney or agent of record.)

John P. White

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036


15.   X   Also enclosed Express Mail Certificate of Mailing No.

EE 474 775 622 US, dated November 2, 2001

16.   X   I hereby verify that the attached papers are a true copy of prior application Serial No. 08/065,844 as originally filed on May 20, 1993.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

November 2, 2001  
Date

  
Signature John P. White Registration No. 28,678  
Spencer H. Schneider Reg. No. 45,923

       INVENTOR(S)  
       ASSIGNEE OF COMPLETE INTEREST  
  X   ATTORNEY OR AGENT OF RECORD  
       FILED UNDER 37 C.F.R. §1.34(a)

Address of Signator:

Cooper & Dunham LLP

1185 Avenue of the Americas

New York, New York 10036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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1185 Avenue of the Americas  
New York, New York 10036  
November 2, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231  
ATTN: Box Patent Applications


SIR:

EXPRESS MAIL CERTIFICATE OF  
MAILING FOR ABOVE-IDENTIFIED APPLICATION

"Express Mail" mailing label number: EE 474 775 622 US  
Date of Deposit: November 2, 2001  
I hereby certify that this paper or fee is being deposited with  
the United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 C.F.R. §1.10 on the date indicated  
above and is addressed to the Assistant Commissioner for Patents,  
Box Patent Applications, Washington, D.C. 20231.

Printed Name: Jamell Rhabb

Respectfully submitted,

  
John P. White  
Registration No. 28,678  
Spencer H. Schneider  
Registration No. 45,923  
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